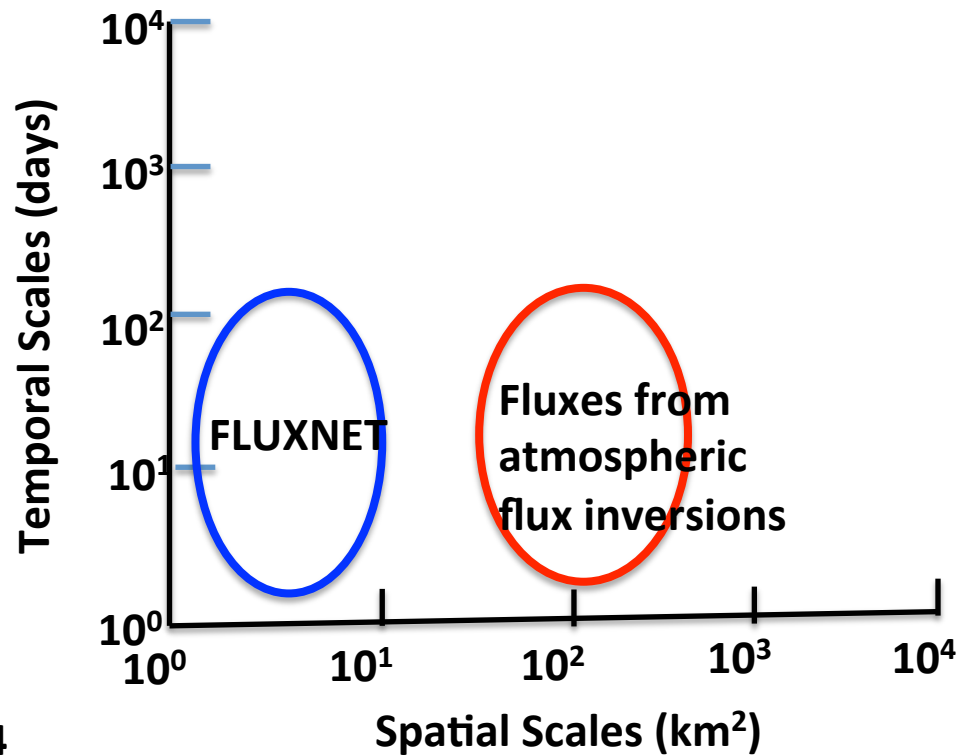
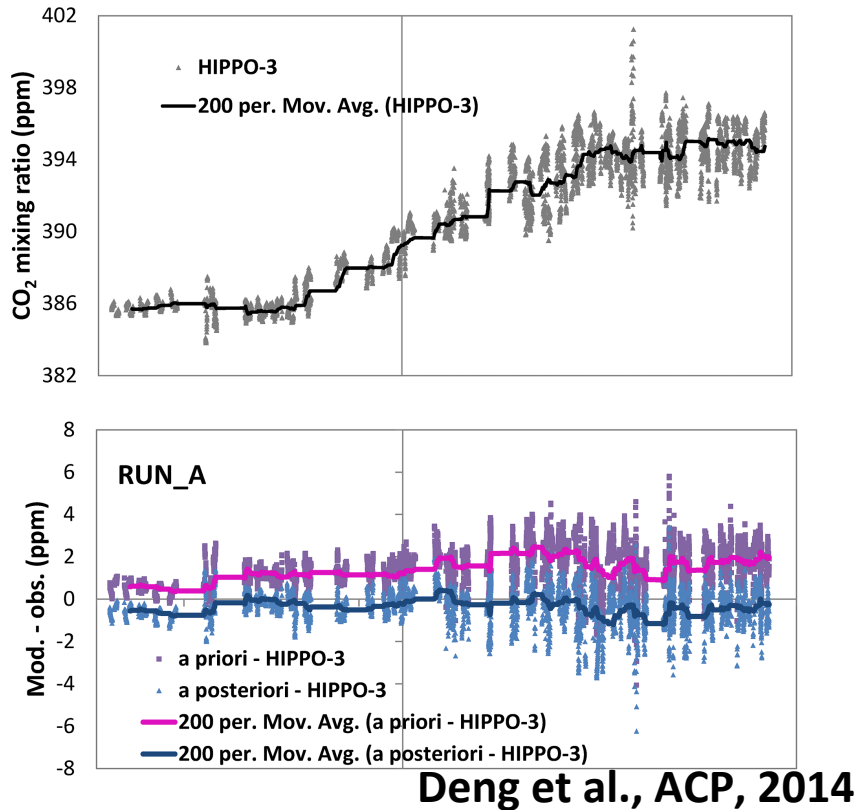


# **A method for independent validation of surface fluxes from atmospheric inversion: application to CO<sub>2</sub>**

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# Motivation



- No direct flux measurements at comparable spatiotemporal scales.
- We propose a methodology to project the changes of CO<sub>2</sub> concentration errors relative to independent observations to the differences between posterior and prior fluxes.

# Methodology

We first define two cost functions that measure the posterior CO<sub>2</sub> ( $\mathbf{C}_{post}$ ) and prior CO<sub>2</sub> ( $\mathbf{C}_{prior}$ ) errors relative to independent observations ( $\mathbf{O}$ ):

$$J_{post} = (\mathbf{C}_{post} - \mathbf{O})^T (\mathbf{C}_{post} - \mathbf{O})$$

$$J_{prior} = (\mathbf{C}_{prior} - \mathbf{O})^T (\mathbf{C}_{prior} - \mathbf{O})$$

$$\mathbf{C}_{post} = M(\mathbf{f}_{post})$$

Where  $M$  is a transport model, and  $\mathbf{f}_{post}$  is the posterior fluxes

# Methodology (continued)

- We then define the difference between these cost functions:

$$\Delta J = J_{post} - J_{prior}$$

- It can be rewritten as:

$$\Delta \tilde{J} = \left\langle (\mathbf{f}_{post} - \mathbf{f}_{prior}), \mathbf{M}^T (\mathbf{C}_{post} - \mathbf{0} + \mathbf{C}_{prior} - \mathbf{0}) \right\rangle$$

where  $\mathbf{f}_{post}$  and  $\mathbf{f}_{prior}$  are the posterior and prior fluxes, and  $\mathbf{M}^T$  is the adjoint of the transport model. The above equation projects the changes of CO<sub>2</sub> errors to the spatiotemporal differences between posterior and prior fluxes.

# Methodology (continued)

- Equation (4) can also be written as:

$$\Delta\tilde{J} = (\mathbf{f}_{post} - \mathbf{f}_{truth})^T \mathbf{M}^T \mathbf{M} (\mathbf{f}_{post} - \mathbf{f}_{truth}) - (\mathbf{f}_{prior} - \mathbf{f}_{truth})^T \mathbf{M}^T \mathbf{M} (\mathbf{f}_{prior} - \mathbf{f}_{truth})$$

- where  $\mathbf{f}_{truth}$  is the true fluxes. The above equation shows that the posterior fluxes are more accurate than the prior fluxes over a region restricted by  $\mathbf{M}^T \mathbf{M}$  when  $\Delta\tilde{J}$  is smaller than zero.

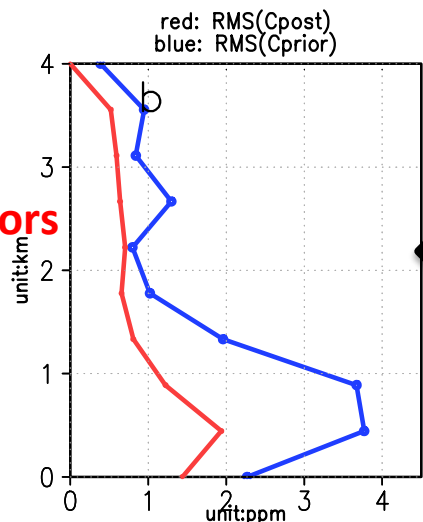
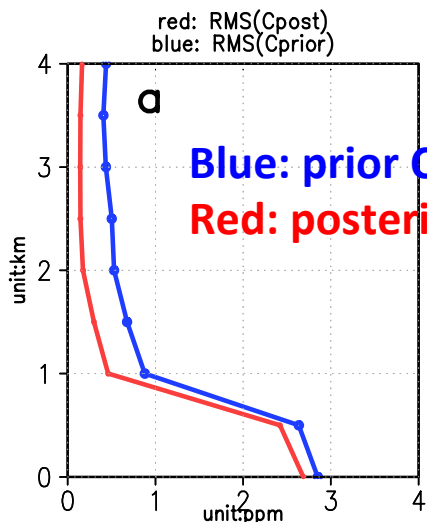
# Two questions can be addressed:

- **What are the magnitude and sign of the CO<sub>2</sub> error changes from the differences between posterior and prior fluxes at each grid point?**
- **Within what spatial domain the posterior fluxes are more accurate than the prior fluxes when the posterior CO<sub>2</sub> concentrations are more accurate than the prior CO<sub>2</sub> concentrations relative to independent observations?**

# OSSE experiment to test the method

- **Observing System Simulation Experiments (OSSE)**
  - ✓ The prior flux and posterior fluxes have different seasonal and diurnal cycle, but the same annual total fluxes
- **ACOS-GOSAT observation coverage**
- **Independent data: simulated aircraft observations at three aircraft sites (CAR, SGP, and TGC) over North America, four aircraft sites (SAN, TAB, ALF, and RBA) over Amazonia, one TCCON site at Lauder, Australia**

# Validating against simulated aircraft obs



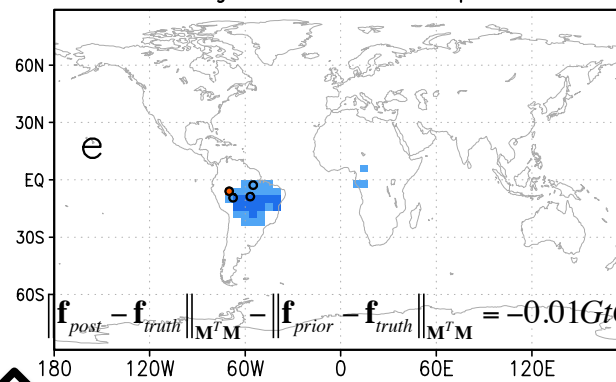
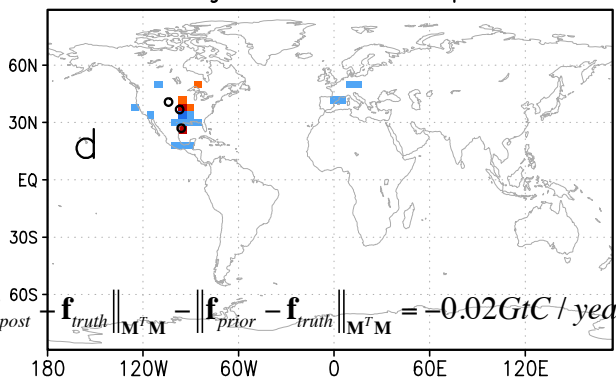
- Posterior CO2 is more accurate than the prior CO2 concentration



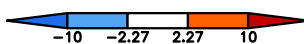
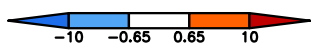
$$\Delta J = J_{post} - J_{prior}$$

reduction of CO2 errors (ppm<sup>2</sup>) from the changes of fluxes at each point

reduction of CO2 errors (ppm<sup>2</sup>) from the changes of fluxes at each point



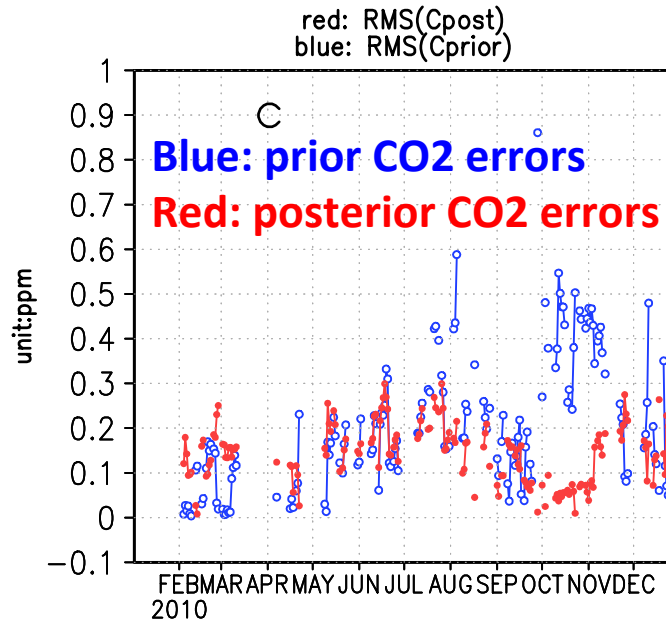
- Attribute the CO2 error changes to the contributions from the flux changes at each grid point
- Where the fluxes are more accurate



$$\Delta \tilde{J} = \left\langle (\mathbf{f}_{post} - \mathbf{f}_{prior}), \mathbf{M}^T (\mathbf{C}_{post} - \mathbf{O} + \mathbf{C}_{prior} - \mathbf{O}) \right\rangle$$



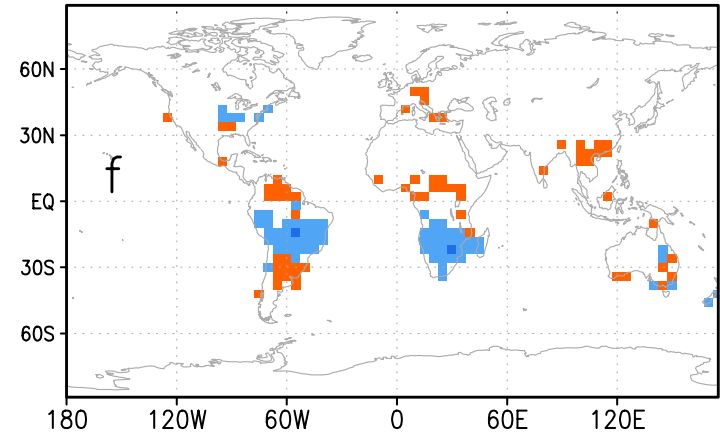
# Validating against simulated TCCON at Lauder



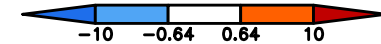
- Posterior CO2 is more accurate than the prior CO2 concentration

$$\Delta J = J_{post} - J_{prior}$$

reduction of CO2 errors (ppm<sup>2</sup>) from the changes of fluxes at each point



$$\Delta \tilde{J} = \left\langle (\mathbf{f}_{post} - \mathbf{f}_{prior}), \mathbf{M}^T (\mathbf{C}_{post} - \mathbf{O} + \mathbf{C}_{prior} - \mathbf{O}) \right\rangle$$

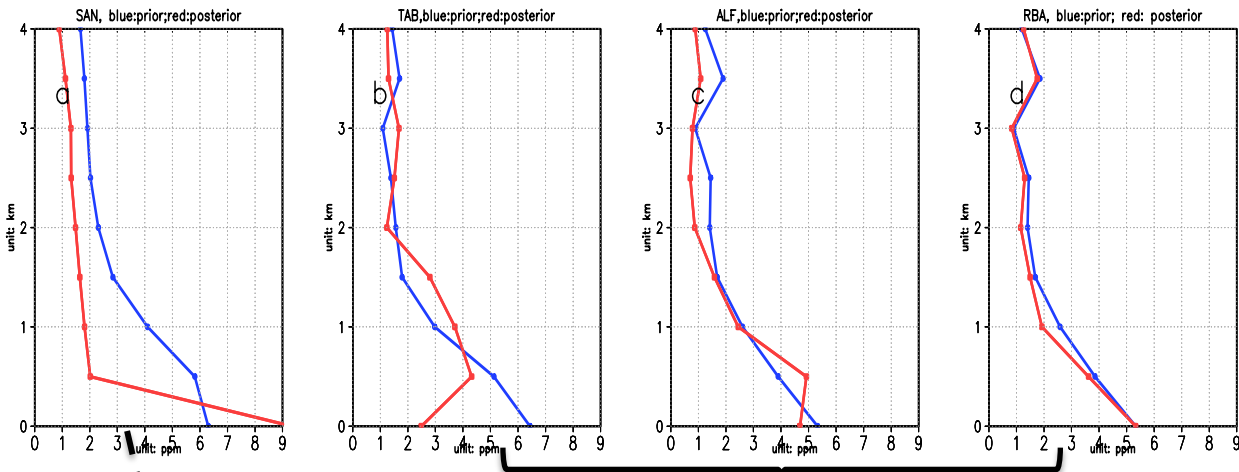


$$\|\mathbf{f}_{post} - \mathbf{f}_{truth}\|_{\mathbf{M}^T \mathbf{M}} - \|\mathbf{f}_{prior} - \mathbf{f}_{truth}\|_{\mathbf{M}^T \mathbf{M}} = -0.01 \text{ GtC / year}$$

- Flux changes over a much broader region contribute to the changes of CO2 error at TCCON site at Lauder.

# Real data experiment

- **Optimize 2010 and 2011 monthly mean biosphere fluxes assimilating ACOS-GOSAT B3.5 land nadir good-quality observations**
- **4D-Var flux inversion with GEOS-Chem adjoint model**
- **Independent data: 2010 and 2011 bi-weekly aircraft observations over Amazonia (Gatti et al., 2014).**

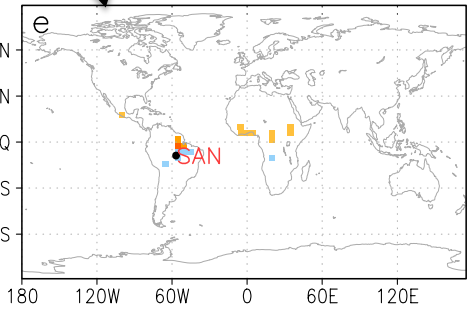


Blue: prior CO2 errors  
 Red: posterior CO2 errors

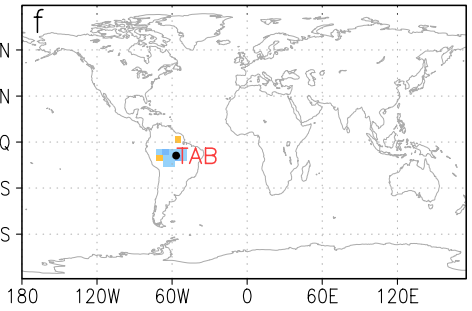
$$\Delta J = J_{post} - J_{prior} > 0$$

$$\Delta J = J_{post} - J_{prior} < 0$$

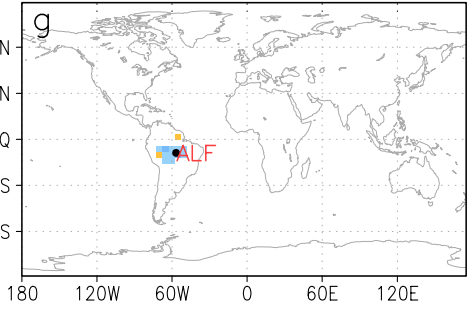
SAN, unit:ppm^2,sum=658.223ppm^2



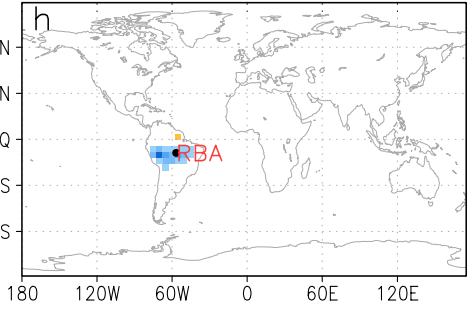
TAB,unit:ppm^2,sum=-991.114ppm^2



ALF,unit:ppm^2,sum=-2697.55ppm^2



RBA,unit:ppm^2,sum=-4074.9ppm^2



- The degradation of posterior fluxes contributing to the increase of CO2 errors may result from bias in observations, transport errors, or inversion setup.

# Summary

- We propose a validation method that project the changes of CO<sub>2</sub> errors relative to independent observations to the spatiotemporal differences between posterior and prior fluxes with an atmospheric transport adjoint model.
- We show that the posterior fluxes are more accurate than the prior fluxes over the regions restricted by M<sup>T</sup>M when posterior CO<sub>2</sub> is more accurate.
- The method can be applied to any inversions where the direct measurements are not available.
- The method is not limited to variational flux inversion.
- **Limitation:** the method is limited by the existence of transport errors.